

## NASA Pre-award and Post-award Disclosure Requirements

Per the <u>NASA Grant and Cooperative Agreement Manual</u> (GCAM), all senior/key personnel receiving funding from a NASA grant or cooperative agreement are required to comply with the disclosure requirements described below. The table includes the following columns:

- Type of activity to be disclosed: This column describes the information that senior/key personnel shall disclose to NASA.
- Biographical Sketch; Current & Pending (Other) Support; and Facilities, Equipment, & Other Resources: Activities with a check mark in "Biographical Sketch" or "Current & Pending (Other) Support" shall be disclosed in the relevant disclosure form. Activities with a check mark in "Facilities, Equipment & Other Resources" shall be disclosed in the Facilities, Equipment, & Other Resources section of the award proposal.
- **Project Reports**: If an activity has a check mark in the "Project Reports" column, then changes to that activity must be reported post-award. Senior/key personnel shall make new disclosures by submitting an updated form as part of their annual performance reports, and the performance report itself shall indicate that updated disclosures are being provided.
- Post-award Information Term & Condition: Activities with a check mark in this column are required to be disclosed if it is determined that a senior/key person failed to disclose the information at the time of proposal submission or in a performance report. These disclosures shall be provided via email to the award recipient's cognizant NASA Grant Officer and Technical Officer within 30 calendar days per NASA's terms and conditions.
- **Disclosure Not Required**: Activities with a check mark in this column do not need to be disclosed to NASA.

## **Table Key**

- \* = for new support only
- ♦ = If undisclosed at the time of application submission

Type of Activity	Biographical Sketch	Current & Pending (Other) Support	Facilities, Equipment & Other Resources	Project Reports	Post-Award Information Term & Condition	Disclosure Not Required
1. Professional Preparation (e.g., education and training)	<b>✓</b>					
2. Academic, professional, or institutional appointments and positions, whether or not remuneration is received, and, whether full-time, part-time, or voluntary	~					
3. A list of products that demonstrate the individual's qualifications to carry out the project as proposed	~					

Type of Activity	Biographical Sketch	Current & Pending (Other) Support	Facilities, Equipment & Other Resources	Project Reports	Post-Award Information Term & Condition	Disclosure Not Required
4. Current and pending (other) support – (a) All resources made available, or expected to be made available, to an individual in support of the individual's research and development efforts, regardless of (i) whether the source is foreign or domestic; (ii) whether the resource is made available through the entity applying for a research and development award or directly to the individual; or (iii) whether the resource has monetary value; and (b) includes in-kind contributions requiring a commitment of time and directly supporting the individual's research and development efforts, such as the provision of office or laboratory space, equipment, supplies, employees, or students.				*	<b>~</b> •	
5. In-kind contributions with an estimated dollar value of \$5,000 or more that support the research activity for use on the project/proposal being proposed			~			
6. In-kind contributions (i) with an estimated dollar value of \$5,000 or more, (ii) not intended for use on the project/proposal being proposed, and (iii) have an associated time commitment		<b>✓</b>		<b>~</b> *	<b>~</b> ,	

Type of Activity	Biographical Sketch	Current & Pending (Other) Support	Facilities, Equipment & Other Resources	Project Reports	Post-Award Information Term & Condition	Disclosure Not Required
7. Current or pending participation in, or applications to, programs sponsored by foreign governments, instrumentalities, or entities, including foreign government-sponsored talent recruitment programs	(Appropriate placement may be contract dependent)	(Appropriate placement may be contract dependent)	(Appropriate placement may be contract dependent)	<b>~</b> *	<b>~</b> •	
8. Consulting activities under the proposals and active projects section of the form when any of the following scenarios apply: (i) the consulting activity will require the senior/key person to perform research as part of the consulting activity does not involve performing research, but is related to the senior/key person's research portfolio and may have the ability to impact funding, alter time or effort commitments, or otherwise impact scientific integrity; or (iii) the consulting entity has provided a contract that requires the senior/key person to conceal or withhold confidential financial or other ties between the senior/key person and the entity, irrespective of the duration of the engagement		<b>✓</b>				

Type of Activity	Biographical Sketch	Current & Pending (Other) Support	Facilities, Equipment & Other Resources	Project Reports	Post-Award Information Term & Condition	Disclosure Not Required
9. Postdoctoral scholars, students, or visiting scholars who are supported by an external entity, and whose research activities are intended for use on the project/proposal being proposed			~			
10. Postdoctoral scholars, students, or visiting scholars who are supported by an external entity, whose research activities are not intended for use on the project/proposal being proposed and have an associated time commitment		~		<b>~</b> *	<b>~</b> •	
11. Travel supported/paid by an external entity to perform research activities with an associated time commitment		~		<b>~</b> *	<b>~</b> .	
12. Startup company based on non- organization-licensed IP		<b>✓</b>		<b>~</b> *	<b>~</b> •	
13. Startup packages from other than the proposing organization		<b>✓</b>		<b>*</b>	✓,	

Type of Activity	Biographical Sketch	Current & Pending (Other) Support	Facilities, Equipment & Other Resources	Project Reports	Post-Award Information Term & Condition	Disclosure Not Required
14. Recently completed support or support that has ended						<b>~</b>
15. Travel supported/paid by an external entity to attend a conference or workshop						~
16. Teaching commitments						<b>✓</b>
17. Startup company based on organization-licensed Intellectual Property (IP)						~
18. Organizational startup packages provided to the individual from the proposing organization						~
19. Honoraria or other given for the purpose of conferring distinction or to symbolize respect, esteem, or admiration unrelated to research oversight, supervision, or co-authorship						~
20. Unrestricted Gifts <sup>1</sup>						<b>✓</b>
21. Training awards and prizes						<b>✓</b>
22. Mentoring as part of appointment or agreement, or mentor/mentee arrangements that do not involve the individual's research activities						~

<sup>&</sup>lt;sup>1</sup> If an item or service meets the definition of a gift, it is not reported. A gift includes any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, license, special access, equipment time, samples, research data, or other item having monetary value. A gift also includes services as well as gifts of training, transportation, local travel, lodging, meals, research hours, whether provided in-kind, by purchase of a ticket, payment in advance, or reimbursement after the expense has occurred. A gift by definition is given without expectation of anything in return.

Type of Activity	Biographical Sketch	Current & Pending (Other) Support	Facilities, Equipment & Other Resources	Project Reports	Post-Award Information Term & Condition	Disclosure Not Required
23. Academic Year Salary or salary provided to the individual by the home organization						~
24. Core facilities and/or shared equipment that is broadly available						~
25. Facilities & Administrative (F&A) reimbursement provided to the proposing/home organization						~